

## Account Transactions (General Ledger)

ED&amp;F Man Capital Markets Ltd (E1)

27-07-2012 through 02-09-2019

Date	JCL	Journal ID	Journal Type	Description	Class	Rel Ticket	Amount	Running Balance
02-05-13	TRD	T00009229201002	Trade	BUY 550,000 UN US @ 42.4305 USD 23,336,791.5	PROCEED-EQUITY	92292	23,336,791.50 Dr	23,336,741.50 Dr
02-05-13	TRD	T00009229301002	Trade	BUY 550,000 UN US @ 42.4305 USD 23,336,791.5	PROCEED-EQUITY	92293	23,336,791.50 Dr	46,673,533.00 Dr
02-05-13	TRD	T00009229401002	Trade	BUY 344,600 UN US @ 42.4305 USD 14,621,560.64	PROCEED-EQUITY	92294	14,621,560.64 Dr	61,295,093.64 Dr
23-05-13	SYS	116247	Reversal of Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112375	14,738,685.50 Cr	46,556,408.14 Dr
23-05-13	TRD	T00011236901002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112369	14,738,685.50 Dr	61,295,093.64 Dr
23-05-13	TRD	T00011237001002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112370	14,738,685.50 Dr	76,033,779.14 Dr
23-05-13	TRD	T00011237101002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112371	14,738,685.50 Dr	90,772,464.64 Dr
23-05-13	TRD	T00011237201002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112372	14,738,685.50 Dr	105,511,150.14 Dr
23-05-13	TRD	T00011237301002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112373	14,738,685.50 Dr	120,249,835.64 Dr
23-05-13	TRD	T00011237401002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112374	14,738,685.50 Dr	134,988,521.14 Dr
23-05-13	TRD	T00011237501002	Trade	BUY 350,000 UN US @ 42.1105 USD 14,738,685.5	PROCEED-EQUITY	112375	14,738,685.50 Dr	149,727,206.64 Dr
23-05-13	TRD	T00011280701002	Trade	BUY 100,000 UN US @ 42.1105 USD 4,211,053	PROCEED-EQUITY	112807	4,211,053.00 Dr	153,938,259.64 Dr
17-06-13	USR	133731	User Adjustment	CASH DIV - UN US - PD 12-06-2013	Unclassified		504,743.24 Cr	153,433,516.40 Dr
17-06-13	USR	133732	User Adjustment	ADR/EDS PROCESSING FEE - UN US - PD 12-06-201	DIV-ADR FEE		7,223.00 Dr	153,440,739.40 Dr
26-06-13	USR	143061	User Adjustment	MAY 2913 USA TICKET CHARGE FEE	Unclassified		1,000.00 Dr	153,441,739.40 Dr
02-07-13	SYS	147086	Swap Close	Realized gain/loss for Full close of Swap #92	CASH	92340	4,261,570.00 Cr	149,180,169.40 Dr
03-07-13	TRD	T00013461501002	Trade	BUY 2,321,600 IMG CN @ 4.25 USD 9,866,800	PROCEED-EQUITY	134615	9,866,800.00 Dr	159,046,969.40 Dr
03-07-13	TRC	T00013462801002	Trade	SEL C IMG CN/USD OTC Op @ 4.125 .012129 7/10/	PROCEED-OTC-OP	134628	9,576,600.00 Cr	149,470,369.40 Dr
08-07-13	SYS	150661	Rev for Swap Close	Realized gain/loss for Full close of Swap #92	CASH	92340	4,261,570.00 Dr	153,731,939.40 Dr
08-07-13	SYS	150667	Swap Close	Swap Coupon Payment for Full close of Swap #1	CASH	141798	504,743.24 Dr	154,236,682.64 Dr
09-07-13	SYS	151464	Rev for Swap Close	Swap Coupon Payment for Full close of Swap #1	CASH	141798	504,743.24 Cr	153,731,939.40 Dr
09-07-13	SYS	151466	Swap Close	Swap Coupon Payment for Full close of Swap #1	CASH	141954	429,031.75 Dr	154,160,971.15 Dr
10-07-13	TRD	T00014165301002	Trade	BUY C IMG CN/USD OTC Op @ 3.88787 .012129 7/1	PROCEED-OTC-OP	141653	9,026,078.99 Dr	163,187,050.14 Dr
10-07-13	TRC	T00014163901002	Trade	SEL 2,321,600 IMG CN @ 3.9 USD 9,054,240	PROCEED-EQUITY	141639	9,054,240.00 Cr	154,132,810.14 Dr
17-07-13	USR	155584	User Adjustment	CASH DIV - IAG US - PD 12-07-2013	DIV-EXP		290,200.00 Cr	153,842,610.14 Dr
25-07-13	USR	159536	User Adjustment	June Ticket Charge ? USA	Unclassified		1,000.00 Dr	153,843,610.14 Dr
06-08-13	USR	165018	User Adjustment	UN US CLEARANCE CHARGE	Unclassified		64,646.51 Dr	153,908,256.65 Dr
09-08-13	USR	166843	User Adjustment	IAG US CLERANCE CHARGE	Unclassified		25,833.74 Dr	153,934,090.39 Dr
19-08-13	SYS	169117	Reversal of Trade	SEL P THI CN/USD OTC Op @ 58.758306 .014175 8	PROCEED-OTC-OP	150006	58,252,984.57 Dr	212,187,074.96 Dr
19-08-13	TRD	T00015000001002	Trade	BUY 991,400 THI CN @ 59.01 USD 58,502,514	PROCEED-EQUITY	150000	58,502,514.00 Dr	270,689,588.96 Dr
19-08-13	TRC	T00015000601002	Trade	SEL P THI CN/USD OTC Op @ 58.758306 .014175 8	PROCEED-OTC-OP	150006	58,252,984.57 Cr	212,436,604.39 Dr
19-08-13	TRC	T00015010901002	Trade	SEL C THI CN/USD OTC Op @ 58.758306 .014175 8	PROCEED-OTC-OP	150109	58,252,984.57 Cr	154,183,619.82 Dr
21-08-13	USR	171552	User Adjustment	July 2013 Ticket Charge - US	Unclassified		1,000.00 Dr	154,184,619.82 Dr
23-08-13	TRD	T00015131701002	Trade	BUY C THI CN/USD OTC Op @ 56.62582 .014175 8/	PROCEED-OTC-OP	151317	56,138,837.95 Dr	210,323,457.77 Dr
23-08-13	TRC	T00015131301002	Trade	SEL 991,400 THI CN @ 56.64 USD 56,152,896	PROCEED-EQUITY	151313	56,152,896.00 Cr	154,170,561.77 Dr
03-09-13	SYS	176510	Reversal of Trade	SEL P AEM CN/USD OTC Op @ 31.53 .07825 9/9/13	PROCEED-OTC-OP	152830	48,218,829.00 Dr	202,389,390.77 Dr
03-09-13	SYS	179286	Reversal of Trade	BUY 1,529,300 AEM CN @ 31.75 USD 48,555,275	PROCEED-EQUITY	152805	48,555,275.00 Cr	153,834,115.77 Dr
03-09-13	SYS	179296	Reversal of Trade	SEL C AEM CN/USD OTC Op @ 31.53 .07825 9/9/13	PROCEED-OTC-OP	153811	48,218,829.00 Dr	202,052,944.77 Dr
03-09-13	TRD	T00015280501002	Trade	BUY 1,529,300 AEM CN @ 31.75 USD 48,555,275	PROCEED-EQUITY	152805	48,555,275.00 Dr	250,608,219.77 Dr
03-09-13	TRC	T00015283001002	Trade	SEL P AEM CN/USD OTC Op @ 31.53 .07825 9/9/13	PROCEED-OTC-OP	152830	48,218,829.00 Cr	202,389,390.77 Dr
03-09-13	TRC	T00015381101002	Trade	SEL C AEM CN/USD OTC Op @ 31.53 .07825 9/9/13	PROCEED-OTC-OP	153811	48,218,829.00 Cr	154,170,561.77 Dr
05-09-13	USR	180493	User Adjustment	CASH DIV - THI CN - PD 04/09/13	Unclassified		245,091.93 Cr	153,925,469.84 Dr
12-09-13	USR	183427	User Adjustment	CASH DIV - UN US - PD 11/09/13	Unclassified		540,047.40 Cr	153,385,422.44 Dr
12-09-13	USR	183428	User Adjustment	STK LN DIV - UN US - PD 11/09/13	Unclassified		733,740.30 Cr	152,651,682.14 Dr
16-09-13	USR	184581	User Adjustment	TICKET CHARGES - ACER	Unclassified		1,000.00 Dr	152,652,682.14 Dr
16-09-13	USR	184595	User Adjustment	TICKET CHARGES - ACER	Unclassified		1,000.00 Dr	152,653,682.14 Dr

CONFIDENTIAL

ED&amp;F-00040774



## Account Transactions (General Ledger)

ED&amp;F Man Capital Markets Ltd (E1)

27-07-2012 through 02-09-2019

Date	JCL Journal ID	Journal Type	Description	Class	Rel Ticket	Amount	Running Balance
26-09-13	USR 189663	User Adjustment	THI US Clearance Charge	Unclassified		9,166.98 Dr	152,662,849.12 Dr
30-09-13	USR 190619	User Adjustment	REV TICKET CHARGES - ACER	Unclassified		1,000.00 Cr	152,661,849.12 Dr
01-10-13	USR 191592	User Adjustment	UN US Dividend Paid	Unclassified		1,199,347.69 Dr	153,861,196.81 Dr
01-10-13	USR 191594	User Adjustment	UN US Clearance Charge	Unclassified		70,739.22 Dr	153,931,936.03 Dr
11-10-13	SYS 194767	Reversal of Trade	SEL C AUQ CN/USD OTC Op @ 3.86 .002938 10/18/	PROCEED-OTC-OP	162868	10,828,844.00 Dr	164,760,780.03 Dr
11-10-13	TRD T00016285301002	Trade	BUY 2,804,500 AUQ CN @ 3.9 USD 10,937,550	PROCEED-EQUITY	162853	10,937,550.00 Dr	175,698,330.03 Dr
11-10-13	TRC T00016286801002	Trade	SEL C AUQ CN/USD OTC Op @ 3.86 .002938 10/18/	PROCEED-OTC-OP	162868	10,828,844.00 Cr	164,869,486.03 Dr
11-10-13	TRC T00016295501002	Trade	SEL C AUQ CN/USD OTC Op @ 3.86 .002938 10/18/	PROCEED-OTC-OP	162955	10,825,370.00 Cr	154,044,116.03 Dr
18-10-13	SYS 197900	Reversal of Trade	BUY C AUQ CN/USD OTC Op @ 3.58 .002938 10/18/	PROCEED-OTC-OP	164149	10,040,110.00 Cr	144,004,006.03 Dr
18-10-13	TRD T00016414901002	Trade	BUY C AUQ CN/USD OTC Op @ 3.58 .002938 10/18/	PROCEED-OTC-OP	164149	10,040,110.00 Dr	154,044,116.03 Dr
18-10-13	TRD T00016441501002	Trade	BUY C AUQ CN/USD OTC Op @ 3.57706 .002938 10/	PROCEED-OTC-OP	164415	10,031,864.77 Dr	164,075,980.80 Dr
18-10-13	TRC T00016413501002	Trade	SEL 2,804,500 AUQ CN @ 3.58 USD 10,040,110	PROCEED-EQUITY	164135	10,040,110.00 Cr	154,035,870.80 Dr
01-11-13	USR 204297	User Adjustment	CASH DIV - AUQ CN - P/D 29/10/2013	Unclassified		112,180.00 Cr	153,923,690.80 Dr
05-11-13	USR 205518	User Adjustment	AUQ CN Clearance Charge	Unclassified		7,889.38 Dr	153,931,580.18 Dr
05-11-13	USR 205526	User Adjustment	GG US Clearance Charge	Unclassified		9,562.79 Dr	153,941,142.97 Dr
06-11-13	USR 206001	User Adjustment	Reverse of GG US Clearance Charge	Unclassified		9,562.79 Cr	153,931,580.18 Dr
03-12-13	TRD T00017317501002	Trade	BUY 1,995,200 SU CN @ 34.45 USD 68,734,640	PROCEED-EQUITY	173175	68,734,640.00 Dr	222,666,220.18 Dr
03-12-13	TRC T00017321901002	Trade	SEL C SU CN/USD OTC Op @ 34.26105 .011433 12/	PROCEED-OTC-OP	173219	68,357,646.96 Cr	154,308,573.22 Dr
05-12-13	USR 219918	User Adjustment	Mkt Ticket charges ? October 2013	Unclassified		30.00 Dr	154,308,603.22 Dr
09-12-13	TRD T00017787301002	Trade	BUY C SU CN/USD OTC Op @ 35.29857 .011433 12/	PROCEED-OTC-OP	177873	70,427,706.86 Dr	224,736,310.08 Dr
09-12-13	TRC T00017775801002	Trade	SEL 1,995,200 SU CN @ 35.31 USD 70,450,512	PROCEED-EQUITY	177758	70,450,512.00 Cr	154,285,798.08 Dr
13-12-13	TRD T00018727201002	Trade	BUY 1,844,800 136385101 @ 32.7 USD 60,324,960	PROCEED-EQUITY	187272	60,324,960.00 Dr	214,610,758.08 Dr
13-12-13	TRC T00018727901002	Trade	SEL C 136385101/USD OTC Op @ 32.51162 .009496	PROCEED-OTC-OP	187279	59,977,436.58 Cr	154,633,321.50 Dr
17-12-13	USR 225057	User Adjustment	STCK LOAN DIV - UN US - PD 11-12-2013	Unclassified		1,139,301.96 Cr	153,494,019.54 Dr
19-12-13	TRD T00020121201002	Trade	BUY C 136385101/USD OTC Op @ 32.290504 .00949	PROCEED-OTC-OP	201212	59,569,521.78 Dr	213,063,541.32 Dr
19-12-13	TRC T00020119101002	Trade	SEL 1,844,800 136385101 @ 32.3 USD 59,587,040	PROCEED-EQUITY	201191	59,587,040.00 Cr	153,476,501.32 Dr
27-12-13	SYS 230027	Swap Close	Realized gain/loss for Full close of Swap #12	CASH	126281	4,686,000.00 Cr	148,790,501.32 Dr
27-12-13	SYS 230029	Swap Close	Realized gain/loss for Full close of Swap #14	CASH	141799	3,539,270.00 Cr	145,251,231.32 Dr
27-12-13	USR 229975	User Adjustment	CASH DIV - SU CN - PD 24-12-2013	Unclassified		328,383.81 Cr	144,922,847.51 Dr
27-12-13	USR 229976	User Adjustment	STCK LOAN DIV - SU CN - PD 24-12-2013	Unclassified		47,041.00 Cr	144,875,806.51 Dr
07-01-14	USR 233522	User Adjustment	CASH DIV - CNQ US - PD 01-01-2014	Unclassified		346,765.21 Cr	144,529,041.30 Dr
07-01-14	USR 233620	User Adjustment	Mkt Ticket charges ? November 2014	Unclassified		30.00 Dr	144,529,071.30 Dr
08-01-14	USR 234157	User Adjustment	Mkt Ticket charges ? November 2013	Unclassified		30.00 Dr	144,529,101.30 Dr
08-01-14	USR 234216	User Adjustment	Revers of Mkt Ticket charges ? November 2014	Unclassified		30.00 Cr	144,529,071.30 Dr
10-01-14	USR 235258	User Adjustment	STCK LOAN DIV REV - UN US - PD 11-12-2013	Unclassified		1,139,301.96 Dr	145,668,373.26 Dr
10-01-14	USR 235264	User Adjustment	STCK LOAN DIV ADJ - UN US - PD 11-12-2013	Unclassified		1,340,355.25 Cr	144,328,018.01 Dr
15-01-14	USR 236906	User Adjustment	SU CN/USD Clearance Charge	Unclassified		20,254.30 Dr	144,348,272.31 Dr
20-01-14	USR 238249	User Adjustment	SWAP DIV - UN US - PD 11-12-2013	Unclassified		531,272.89 Dr	144,879,545.20 Dr
20-01-14	USR 238249	User Adjustment	SWAP DIV - UN US - PD 11-12-2013	Unclassified		809,082.35 Dr	145,688,627.55 Dr
22-01-14	SYS 239502	Rev for Swap Close	Realized gain/loss for Full close of Swap #12	CASH	126281	4,686,000.00 Dr	150,374,627.55 Dr
22-01-14	SYS 239504	Swap Close	Realized gain/loss for Full close of Swap #20	CASH	207095	5,830,000.00 Cr	144,544,627.55 Dr
22-01-14	SYS 239506	Rev for Swap Close	Realized gain/loss for Full close of Swap #14	CASH	141799	3,539,270.00 Dr	148,083,897.55 Dr
22-01-14	SYS 239508	Swap Close	Realized gain/loss for Full close of Swap #20	CASH	207099	4,290,462.00 Cr	143,793,435.55 Dr
22-01-14	USR 239445	User Adjustment	CNQ US Clearance Charge	Unclassified		15,939.80 Dr	143,809,375.35 Dr
22-01-14	USR 239446	User Adjustment	CNQ US Clearance Charge	Unclassified		19,790.82 Dr	143,829,166.17 Dr

CONFIDENTIAL

ED&amp;F-00040775